2163, 02-13-01 ) inside this box PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a cellection of information unless it displays a valid OMB control number. 10,2000 Application Number **TRANSMITTAL Filing Date FORM** First Named Inventor (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** 00.4722 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Provisional Application Affidavits/declaration(s) Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) \_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm SCHAFER Individual name Signature Date -2001 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

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Date

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MAR 20 2001

In Re Application of:

David Hegg

TC 2800 MAIL ROOM

Serial No.:

09/761,455

Application Filed:

01-16- 2001

Application Title:

HOUSING MARKET ANALYSIS METHOD

Examiner/GAU:

Atty Docket No.:

00-4222

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MAR 2 1 2001

Technology Center 2100

## **Information Disclosure Statement**

Hon. Commissioner of Patents and Trademarks Washington D. C. 20231

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be considered by the examiner and an initialed copy of each form be returned to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed.

Some of the documents may have markings thereon. No significance is meant to be attached

to the markings.

These documents are not necessarily analogous art.

**OTHER INFORMATION** 

Applicant wishes to advise examiner that the following patents are deemed most significant,

complying with the requirements of M.P.E.P. §2004(13):

Rothstein, U.S. Pat. 6,058,369, teaches evaluation of an average selling price and expired

listings.

Rothstein, U.S. Pat. 5,636,117, illustrates also teaches evaluation of an average selling price

and expired listings.

Meinikoff, U.S. Pat. No. 5,784,696, shows expected returns measured against actual returns

within a portfolio. From this difference, an expected return on the market portfolio can be selected

in some manner as the user determines by some set of criteria, including different variations of the

risk against expected rates of the portfolio measured against the actual performance. This requires

a median value and an average value be determined. Standard deviation is used to segment the

median ratio.

Respectfully submitted,

Janet P. Schafer, 32,296

JANET PEYTON SCHAFER

ATTORNEY AT LAW

727 Torchwood Circle

New Brighton, MN 55112

(651)633-8261

**Enclosures** 

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				U.S. PATENT DOC	UMENTS	
Examiner nitials	Cite No. <sup>1</sup>	Number	Gind Code <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines. Where Relevant Passages or Relevant Figures Appear
		5.636,117		Rothstein	06-03-1997	
		5,784,691		Rothstein Meinikoff	07-21-1918	
<del></del> -		6,058,369	$\downarrow \downarrow \downarrow$	Rothstein	05-02-2000	
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Initials*	No.1	Office <sup>3</sup>	Number4	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Te
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.